

**Manufacturer's or Supplier's Certification for Lithium-Battery**  
concerning the transport according to the dangerous goods regulations of the  
different transport modes as in force since January, 1<sup>st</sup> 2003 and changes effective up to 2017<sup>1</sup>

Subject to <b>SP 188</b> UN Recommendations on the transport of dangerous goods, as adopted in <b>SP 188</b> ADR/RID/IMDG CODE: as applicable <b>Section IB or II PI 965 or 968<sup>2</sup></b> ICAO-TI/IATA-DGR
Battery model name:
<input type="checkbox"/> Lithium metal / lithium alloy battery <input type="checkbox"/> non-rechargeable <input type="checkbox"/> rechargeable <input type="checkbox"/> Lithium ion (polymer) battery
Lithium content or equivalent lithium content, Watt-hour rating <sup>3</sup> _____ g            (lithium metal / lithium alloy battery) _____ g            (lithium ion (polymer) battery) _____ Wh            (lithium ion (polymer) battery)
with
Cell model name:
<input type="checkbox"/> Lithium metal / lithium alloy cell <input type="checkbox"/> non-rechargeable <input type="checkbox"/> rechargeable <input type="checkbox"/> Lithium ion (polymer) cell
Lithium content or equivalent lithium content, Watt-hour rating <sup>3</sup> _____ g            (lithium metal / lithium alloy cell) _____ g            (lithium ion (polymer) cell) _____ Wh            (lithium ion (polymer) cell)
Number of cells per battery: _____

Subject to all applicable requirements given for class 9 - <b>UN 3090 LITHIUM METAL BATTERIES<sup>2</sup></b> or - <b>UN 3480 LITHIUM ION BATTERIES<sup>2</sup></b> (SP 188 as on the left column can not be used)
Battery model name:
<input type="checkbox"/> Lithium metal / lithium alloy battery <input type="checkbox"/> non-rechargeable <input type="checkbox"/> rechargeable <input type="checkbox"/> Lithium ion (polymer) battery
Lithium content or equivalent lithium content, Watt-hour rating <sup>3</sup> _____ g            (lithium metal / lithium alloy battery) _____ g            (lithium ion (polymer) battery) _____ Wh            (lithium ion (polymer) battery)
with
Cell model name:
<input type="checkbox"/> Lithium metal / lithium alloy cell <input type="checkbox"/> non-rechargeable <input type="checkbox"/> rechargeable <input type="checkbox"/> Lithium ion (polymer) cell
Lithium content or equivalent lithium content, Watt-hour rating <sup>3</sup> _____ g            (lithium metal / lithium alloy cell) _____ g            (lithium ion (polymer) cell) _____ Wh            (lithium ion (polymer) cell)
Number of cells per battery: _____

- We certify that each cell or battery is of the type proved to meet the requirements of each applicable test in the UN Manual of Tests and Criteria, Part III, sub-section 38.3, as applicable at the date of the type testing. Manufacturing takes place under a quality management programme as legally required. As applicable
- cell tested according    Revision \_\_\_\_\_, Amendment \_\_\_\_\_<sup>4</sup> UN Manual of Tests and Criteria
  - battery tested according    Revision \_\_\_\_\_, Amendment \_\_\_\_\_<sup>4</sup> UN Manual of Tests and Criteria or only for rechargeable single cell battery with overcharge protection:  
     Tested according            Revision \_\_\_\_\_, Amendment \_\_\_\_\_<sup>4</sup> UN Manual of Tests and Criteria

Name and address of manufacturer or supplier:
Place: _____ Date: _____
Responsible Person:
Signature:

<sup>1</sup> Fill in/tick either the left or the right column as applicable and the complete parts after the columns.

<sup>2</sup> If packed with/contained in equipment as applicable Section II PI 966/967/969/970 ICAO-TI/IATA-DGR or requirements for UN 3091/ UN 3481 class 9.

<sup>3</sup> Fill in the values as applicable for the battery and/or the cell.

Hint: Please use the correct definitions for "cell" and "battery" according to the different editions of the UN Manual of Tests and Criteria.

<sup>4</sup> Fill in the versions according the applicable tests had been successfully passed. For batteries also the cell data have to be given.

Hint: For determining the transport conditions a single cell battery has to be regarded as a "cell".